

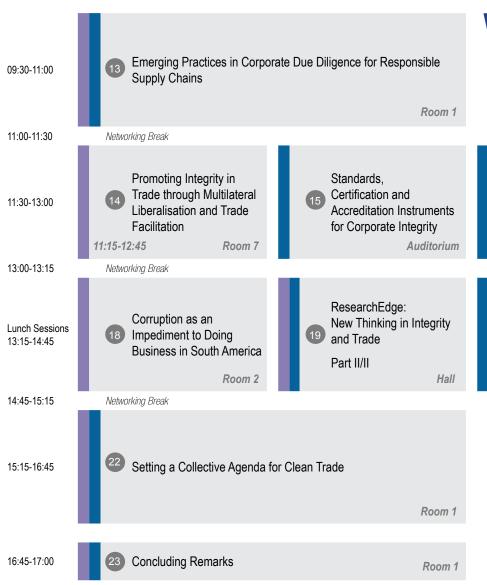
# **AGENDA**

# **2016 OECD Integrity Forum**FIGHTING THE HIDDEN TARIFF: GLOBAL TRADE WITHOUT CORRUPTION

19-20 April 2016
OECD Conference Centre
Paris, France







# Wednesday 20 April

Building the Evidence

to Policy Insights

**Book Presentations:** 

Meet the Authors

Base: From Integrity Data

Room 2

Auditorium

Corruption along the
Extractive Value Chain:
Mitigating and Preventing
Risks through Incentives

Room 4

Corruption, Climate
Change and Illegal Timber
Trade

Room 7

New Horizons in Anti-Corruption: A Policy Symposium in preparation for the London 2016 Anti-Corruption Summit

Upon invitation only

# Tuesday, 19 April 2016

#### 08:00 - 10:00

#### **Registration & Welcome Coffee**

#### 09:00 - 10:00

#### Opening of Art Exhibition: Corruption Objectified

Hall



Ordinary artefacts tell the story of how corruption occurs in our daily life. Which corruption mechanism has affected you personally?

Organised by La Transplanisphère

10:00 - 10:30

#### Room1



# Welcoming Remarks and Opening Address

- Angel Gurría, Secretary-General, OECD
- Cecilia Malmström, Commissioner for Trade, EU
- Rt Hon Sir Eric Pickles, MP, UK Government Anti-Corruption Champion

10:30 - 12:00

#### Room 1

# High-Level Panel Corruption and Trade: Risks, Costs, Consequences

This high- level panel will look at corruption in cross-border trade at a macro level, evaluating the costs of corruption and the ways to address corruption. The panel will then address systematically the entry points for corruption, including customs, border police, trade regulators, trade agreements, trade facilitation, traders, logistics companies and multinationals.

#### **SPEAKERS**



- Pascal Lamy, former Director-General of the World Trade Organization and former Commissioner for Trade, EU
- Cecilia Malmström, Commissioner for Trade, EU
- Klaus Moosmayer, Chair, Business and Industry Advisory Committee Anti-Corruption Task Force and Chief Compliance Officer, Siemens
- Sergio Mujica, Deputy Secretary-General, World Customs Organization
- Gabriela Ramos, OECD Chief of Staff and Sherpa to the G20
- David Shark, Deputy Director-General, World Trade Organization

## **MODERATOR**

Axel Threlfall, Editor-at-large, Reuters

12:00 - 12:30

Networking Break

12:30 - 14:00

#### Room 7

#### **Lunch Session**

#### Collective Action in Emerging Markets – Lessons from the Maritime Anti-Corruption Network

This session will provide examples of collective action projects that the Maritime Anti-Corruption Network has worked on with multiple stakeholders across various countries including Nigeria, Argentina and Indonesia to tackle the root causes of corruption and work with government, authorities and civil society to implement actions that serve to mitigate operational business risks. Participants will gain an understanding of results so far and learnings for how to drive effective cooperative anti-corruption efforts that foster integrity in trade.



## **SPEAKERS**

- Guillermo Jorge, Partner, Governance Latam and Global Adjunct Professor of Law, NYU School of Law, Argentina
- Sam Megwa, Strategy, Risk & Compliance Manger, BP Shipping
- Cecilia Muller Torbrand, Senior Legal Counsel, Group Compliance Officer, Group Legal A.P. Moller Maersk

#### **MODERATOR**

Angie Farrag-Thibault, Director of Transport & Logistics and Collaborations, BSR

Organised by BSR



# 12:30 – 14:00

Hall

# ResearchEdge: New Thinking in Integrity and Trade Part I/II



Your chance to discover some of the most innovative and illuminating insights today on integrity, anti-corruption and trade, resulting from a competitive Call for Papers held by the OECD. This first series of poster presentations will include the topics: Corruption Risk Assessment Tools, Corruption Prevention in Cross-Border Trade and International Conventions against Corruption.

Guided tours by **Michael Johnston**, Charles A. Dana Professor of Political Science at Colgate University and **Alan Doig**, Visiting Professor, Newcastle Business School, Northumbria University

# 12:30 – 14:00 Auditorium

#### **Book Presentations: Meet the Authors**

Your opportunity to discuss with authors on their recently published work on integrity and anti-corruption topics, such as the link between key sporting events and good governance, peer to peer learning to improve accountability and control of corruption.

## **SPEAKERS**



- 12:30 13:00: 'Olympic Anti-Corruption Report: Brazil and the Rio 2016 Summer Games' by Andy Spalding, Assistant Professor, University of Richmond School of Law
- 13:00 13:30: 'Peer to peer learning how partnerships among peers can help countries improve accountability and fight corruption'
  - Renato de Oliveira Capanema, Director, Integrity Promotion and International Co-operation and Agreements, Brazil Office of the Comptroller General
  - Emilie Gay, Governance Advisor, Development Co-operation Directorate, OECD
- 13:30 14:00: 'The Quest for Good Governance: How Societies Develop Control of Corruption' by Alina Mungiu-Pippidi, Director, European Research Centre for Anti-Corruption and State-Building, Hertie School of Governance

# 12:30 – 14:00 Room 2

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# Lunch Session Building Capacity for Collective Action

Collective action is rising fast up the global corporate agenda, with senior executives around the world acknowledging its importance to their companies' anti-corruption and compliance efforts. But while practitioners are aware of the need for collective action, building capacity remains a challenge. In this panel discussion, top compliance executives and other thought leaders in the field will discuss why capacity building is needed and how the current gap can be filled most effectively. The event is designed to stimulate the exchange of practice-oriented ideas for projects, policy, and initiatives that need to be considered.

#### **SPEAKERS**



- Gemma Aiolfi, Head of Compliance and Corporate Governance/Collective Action, Basel Institute on Governance
- Nicola Bonucci, Director and Accession Coordinator, Directorate for Legal Affairs, OECD
- Keith Darcy, President, Darcy Partners Inc and former CEO of the Ethics and Compliance Officer Association (ECOA)
- Roxana Family, Dean and Chair, Business Law and Ethics Department, Law Faculty of the University of Cergy-Pontoise (UCP), France and Scientific Director of the review Revue Internationale de la Compliance et de l'Ethique des Affaires
- Emmanuel Lulin, Senior Vice President and Chief Ethics Officer, L'Oréal

## **MODERATOR**

 Nikos Passas, Distinguished Inaugural Professor of Collective Action, Business Ethics and Compliance, International Anti-Corruption Academy, Vienna

Organised by the International Anti-Corruption Academy (IACA)

14:00 - 14:30

Networking Break



#### 14:30 - 16:00

#### Room 2

# Parallel Session Clearing Customs of Corruption

Building on the Revised Arusha Declaration by the World Customs Organization, this must-see session presents good practices on implementing integrity standards in customs organizations. The session will also debate the challenges experienced by private sector companies in working with customs.

#### **SPEAKERS**



- Boris Divjak, Director, U4 Anti-Corruption Resource Centre
- Sigfridur Gunnlaugsdottir, Iceland Customs and World Custom Organization Integrity Sub-Committee Chairperson
- Constantine Palicarsky, Crime Prevention and Criminal Justice Officer, Implementation Support Section, Corruption and Economic Crime Branch, Division of Treaty Affairs, UNODC
- Anne Le Rolland, CEO, Acte International

#### **MODERATOR**

Grace Perez-Navarro, Deputy Director, Centre for Tax Policy and Administration, OECD

# 14:30 – 16:00 Room 4

# **Parallel Session**

#### In the Public Interest: Preventing Corruption in State-owned Enterprises

State-owned Enterprises (SOEs) make up a large proportion of many of the world's fastest-growing economies. They are also increasingly active in international business, either partnering or competing with private firms. To ensure SOEs operate with integrity and in a sustainable manner, SOEs' boards, managers and government owners should prioritise sound governance practices that facilitate the prevention of corruption. This session will aim to identify ways that SOEs can strengthen their internal controls, ethics, risk management and compliance programmes to prevent corruption, as recommended by the recently revised OECD Guidelines on Corporate Governance of State-Owned Enterprises.



#### **OPENING REMARKS**

Kamel Ayadi, Minister for Civil Service, Governance and Anti-Corruption, Tunisia

#### **SPEAKERS**

- Achille Bianchi, Legal Adviser and Councillor, Member of the Legislative Office of the Cabinet, Italian Ministry of Economy and Finance
- Gian Piero Cigna, Senior Counsel, European Bank for Reconstruction and Development (EBRD)
- Lars Erik Fredriksson, Chair, OECD Working Party on State Ownership and Privatisation Practices (WPSOPP),
   Investment Director, Division for State-Owned Enterprises, Swedish Ministry of Enterprise and Innovation
- Sebastian Scheidt, Head of Headquarters, Strategy and Reporting, Group Compliance Management, Deutsche Telekom AG
- Henry Wang, Executive Advisor, Corporate Strategy and Planning, Saudi Basic Industries Corporation (SABIC)
- Lucy Wylde, General Counsel, UK Export Finance

## **MODERATOR**

Hans Christiansen, Senior Economist, OECD Corporate Affairs Division and Head of the WPSOPP Secretariat

# 14:30 – 16:00 Room 7

# Parallel Session Use of Offsets in Procurement of Defence Material

This session debates whether there is a need for an international instrument to address corruption risks in offsets in the procurement of defence materials. It involves industry experts, the legal and compliance profession, and government agencies responsible for offsets. The session commences with presentations to introduce the timely topic of offsets in defence procurement, and the main corruption issues, including the types of offsets, offset policy, strategy, management cycle and evaluation, and due diligence and corruption risks in relation to offsets.

## **SPEAKERS**



- Katherine Dixon, Director, Transparency International Defence & Security Programme
- Tobie Duthie, Co-Founder, Forensic Risk Alliance
- Sam Eastwood, Partner, Norton Rose Fulbright
- Corinne Lagache, Vice Chair of Business and Industry Advisory Committee Anti-Corruption Task Force and Senior Vice President Group Compliance Office, Safran
- Diógenes Lima Neto, Cel Int, Divisão de Operações de Crédito, Secretaria de Economia e Finanças da Aeronáutica, Brazil
- Grant Rogan, CEO and Founder of Blenheim Capital Services Ltd

#### **MODERATOR**

Peggy Hollinger, Financial Times, Industry Editor



# 14:30 – 16:00 Auditorium

# Parallel Session Exploiting Tragedy: Corruption and the Refugee Crisis

This session will examine corruption's role in the refugee and migrant crisis now affecting Europe and the Mediterranean region. Relevant parallels will also be drawn with the situations elsewhere in the world. After describing the current state of the on-going crisis, the panel will discuss: (1) how corruption can spur or influence the migration process, (2) the consequences of corruption on migration, and (3) the policies that individual States and international organisations should adopt to address challenges that corruption presents in fighting human smuggling and trafficking.



#### **SPEAKERS**

- Morgane Nicot, Crime Prevention and Criminal Justice Officer, Human Trafficking and Migrant Smuggling Section, UNODC
- Vasileios Ntiakalis, Police Major, Hellenic Police
- Louise Shelley, Omer L. and Nancy Hirst Endowed Chair, Director, Terrorism, Transnational Crime and Corruption Center (TraCCC), and University Professor in the School of Policy, Government and International Affairs
- Melissa Siegel, Associate Professor and Head of Migration Studies, Maastricht Graduate School of Governance and UNU-MERIT
- Peter Tinti, Senior Research Fellow, Global Initiative Against Transnational Organized Crime

#### **MODERATOR**

Patrick Moulette, Head, Anti-Corruption Division, Directorate for Financial and Enterprise Affairs, OECD

16:00 - 16:30

Networking Break

#### 16:30 - 18:00

### Room 1

# Plenary Session The Twin Devils of Corruption and Illicit Trade

Global trade provides unprecedented opportunities for economies to grow. It also provides criminal entrepreneurs the conditions to hide illicit goods and materials in the shadows of legitimate exports. This high-level session will address how governments and international organisations can work to ensure clean trade, which furthers public safety and reduces the illegal entry of contraband and persons into markets and territories without impeding legitimate trade.



#### **SPEAKERS**

- Gabriel Duque, Ambassador, Mission of Colombia to the WTO
- Tina Gabbrielli, Department of Homeland Security, United States
- Konstantinos Kaiopoulos, Advisor for Customs Matters to the Secretary General for Public Revenue, Greece
- Tim Morris, Executive Director of Police Services, Interpol
- Vanessa Neumann, President & CEO, Asymmetrica
- Euan Stewart, Head of Intelligence, H.M. Revenue and Customs, United Kingdom

#### **MODERATOR**

David Luna, Senior Director for National Security and Diplomacy, INL, U.S. Department of State



# Wednesday, 20 April 2016

08:30 - 09:30

**Welcome Coffee** 

09:30 - 11:00

#### Room 1

# **Plenary Session**

## **Emerging Practices in Corporate Due Diligence for Responsible Supply Chains**

The session will explore corporate approaches on supply chain due diligence to identify, prevent and mitigate risks of corruption and illicit trade in commodities, manufacturing and transport sectors. Experts will seek to identify cross-cutting good practices in areas such as supply chain risk identification and analysis, traceability and visibility over raw materials in the supply chain, monitoring, internal controls, auditing, and collaborative efforts like certification or public-private partnerships. The session will compare and contrast supply chain integrity practices with global standards and practice on supply chain due diligence in other areas of responsible business conduct, such as environmental, human rights, and labour impacts in the supply chain.



#### **SPEAKERS**

- Ruth Crowell, Chief Executive Officer, London Bullion Market Association
- Joseph Simon, Vice Chair of Business and Industry Advisory Committee Anti-Corruption Task Force and Group Compliance Officer, Maersk
- Angela Reitmaier, Transparency International Germany
- Jo Webb, Global Engagement Manager Third Party Risk, Thomson Reuters

#### **MODERATOR**

Tyler Gillard, Manager of Sector Projects, Responsible Business Conduct Unit, OECD

11:00 - 11:30

Networking Break

11:15 - 12:45

#### Room 7

#### **Parallel Session**

#### Promoting Integrity in Trade through Multilateral Liberalisation and Trade Facilitation

Trade facilitation and integrity in trade are closely related. Simplification of trade procedures and greater transparency and predictability will reduce trade costs and promote economic efficiency while also removing incentives and opportunities for corruption. Building trust between governments and the private sector is essential to establish integrity and good governance in international trade transactions. In today's highly interconnected world, strong commitments on transparency and integrity will support firms' participation in global value chains. The session will explore how multilateral and plurilateral liberalisation and trade facilitation efforts can promote cleaner trade.



#### **SPEAKERS**

- Dato' Hafsah Hashim, CEO, SME Corporation Malaysia
- Evdokia Moïsé, Senior Trade Policy Analyst, Development Division, Trade and Agricultural Directorate, OECD
- Steve Pope, Vice President Customs & Regulatory Affairs, DHL Express Europe

#### **MODERATOR**

Mark Linscott, Assistant U.S. Trade Representative



# 11:30 – 13:00

### **Auditorium**

# Parallel Session Standards, Certification and Accreditation Instruments for Corporate Integrity

This session will approach the following questions from different angles: Do integrity standards work in the private sector? What are the best standardisation options, including the ISO anti-bribery management systems standard (ISO 37001) and trader accreditation programmes (such as the Authorised Economic Operator accreditation)? What can policy-makers do to incentivise corporate integrity and how can companies increase their access to public procurement contracts when adhering to integrity standards?

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#### **SPEAKERS**

- Asha Menon, Senior Technical Advisor, World Customs Organization
- Barbara Neiger, Lead Auditor Compliance Management Systems
- Juan Ignacio Piña, President, State Defence Council, Chile
- Neill Stansbury, Co-founder and Director, Global Infrastructure Anti-Corruption Centre and Chairman, International Organisation for Standardisation Anti-Bribery Project Committee

#### **MODERATOR**

Alan Doig, Visiting Professor, Newcastle Business School, Northumbria University

# 11:30 – 13:00 Room 2

# Parallel Session Building the Evidence Base: From Integrity Data to Policy Insights

Linking anti-corruption research with integrity through a whole-of-government perspective is crucial for shaping public policies. The panel will discuss how measurements and data in the area of integrity can contribute to the policy cycle. The Dutch Report Monitoring integrity in the European Union will be launched and case studies from the report will be presented, the EU FP 7 ANTICORRP Index of Public Integrity will be discussed, as well as the latest development on the Sustainable Development Goals and Data on Fix-Rate to measure the effectiveness of anti-corruption interventions.

#### **SPEAKERS**



- Elodie Beth, Regional Anti-Corruption Advisor, Bangkok Regional Hub, United Nations Development Programme
- Ramin Dadasov, Post-doctoral Researcher, ANTICORRP, Hertie School of Governance
- Frederik Galtung, President and Co-Founder, Integrity Action
- Anneli Sihver, Senior Advisor, Ministry of Finance, Estonia

#### **DISCUSSANTS**

- Betty Feenstra, Head of Division Policy Information, Ministry of the Interior and Kingdom Relations, The Netherlands
- Alina Mungiu-Pippidi, Director, European Research Centre for Anti-Corruption and State-Building, Hertie School of Governance

## **MODERATOR**

Michael Johnston, Charles A. Dana Professor of Political Science at Colgate University

Co-organised by the Dutch EU Presidency and the OECD



11:30 - 13:00

#### Room 4

#### **Parallel Session**

## Corruption along the Extractive Value Chain: Mitigating and Preventing Risks through Incentives

According to the 2014 OECD Foreign Bribery Report, one case out of five occurs in the extractive sector. In this area, corruption has become increasingly complex and sophisticated affecting each stage of the extractive value chain. Lost revenues are huge, considering that oil trading alone accounted for 56% of state public budgets in ten major Sub-Saharan African countries between 2011-2013. The session will feature the launch of the OECD publication *Corruption in the extractive value chain: typology of risks, mitigation measures and incentives.* The session will also discuss incentives to put a cost on corruption to make it less attractive at both the public and private level.

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#### **SPEAKERS**

- Larissa Gray, Senior Financial Sector Specialist, World Bank
- Fuad Khoury Zarzar, Comptroller General, Republic of Peru
- Lahra Liberti, Head of Unit on Natural Resources and Development, Development Centre, OECD
- Olivier Longchamp, Manager, Taxation and International Finance, Berne Declaration
- Sophie Musso, Corporate Fraud Risk Coordinator, Total

#### **MODERATOR**

Xavier Harel, Journalist

13:00 - 13:15

Networking Break

#### 13:15 - 14:45

#### Room 2

### Lunch Session

#### Corruption as an Impediment to Doing Business in South America

Corruption remains a serious impediment to economic development and the functioning of public services, particularly in developing and emerging market economies. Practices such as bribery raise the costs and risks of doing business, deter foreign direct investment and negatively affect entrepreneurship. Inefficient and non-transparent government regulations, e.g. in customs, are prone to corruption. By promoting transparent export and import procedures, trade facilitation measures can contribute to the fight against corruption. The aim of the panel discussion is to assess the impact of corruption on doing business and investment and to outline innovative approaches to strengthen clean and ethical business, with a focus on South America.



#### **SPEAKERS**

- Carlos Casto, Chairman of the Board, Sancor Seguros
- Ana Paula Fernandez del Castillo Quintana, Ambassador of the UNGC Local Network in Mexico and CEO, Initiative for Sustainable Development (ISD)
- Joachim Fritz, Director, GIZ, Germany
- Donia Hammami, Head Customs & Trade Facilitation, International Chamber of Commerce
- Renato de Oliveira Capanema, Director, Integrity Promotion and International Co-operation and Agreements,
   Brazil Office of the Comptroller General

#### **MODERATOR**

Noor Naqschbandi, Director, Alliance for Integrity, GIZ

Organised by Alliance for Integrity – GIZ

# 13:15 – 14:45 Hall

# ResearchEdge: New Thinking in Integrity and Trade Part II/II



Your chance to discover some of the most innovative and illuminating insights today on integrity, anti-corruption and trade, resulting from a competitive Call for Papers held by the OECD. This second series of poster presentations will include the topics: Collective Action, Behavioural Economics and Corruption Prevention in the Public Sector.

Guided tours by Alan Doig, Visiting Professor, Newcastle Business School, Northumbria University



# 13:15 – 14:45 Auditorium

#### **Book Presentations: Meet the Authors**

Your opportunity to discuss with authors on their recently published work on integrity and anti-corruption topics, such as Customs and Integrity, Political Economy and Corruption, and Trafficking in Persons.



#### **SPEAKERS**

- 13:15 13:45: 'The Why & How of Performance Measurement Contracts'
   by Patricia Revesz, Integrity Development Advisor, World Customs Organization
- 13:45 14:15: 'Corruption, Contention and Reform'
   by Michael Johnston, Charles A. Dana Professor of Political Science, Colgate University
- 14:15 14:45: 'Trafficking in Persons and Corruption: Breaking the Chain' by Ulrika Bonnier, Public Sector Integrity Division, OECD

# 13:15 – 14:45 Room 7

# Lunch Session Corruption, Climate Change and Illegal Timber Trade

Forest loss and the illegal timber trade are responsible for 15-16% of greenhouse gas emissions annually. Corruption is a major facilitator in illegal logging, which Interpol estimates generates USD 100 billion each year. This session will consider the linkages between corruption, climate change and the illegal timber trade. The discussion aims to explore all aspects of the illegal timber trade, from the need for due diligence in timber supply chains, to civil society's efforts to combat illegal logging, to the activities of law enforcement agencies grappling with this problem.



#### **SPEAKERS**

- Simon Buckle, Head, Climate Biodiversity and Water Division, Environment Directorate, OECD
- Pahala Nainggolan, Deputy for Prevention, Corruption Eradication Commission (KPK), Indonesia
- Julian Newman, Campaigns Director, Environment Investigation Agency
- Alexandra Pardal, Campaign Leader, Global Witness
- Anne-Christine Wegener, Advisor, Anti-Corruption and Integrity Programme, GIZ, Germany

#### **MODERATOR**

• Alison Hoare, Senior Research Fellow, Energy, Environment and Resources, Chatham House

## 14:45 - 15:15

Networking Break

# 15:15 - 16:45

#### Room 1

# Plenary Session Setting a Collective Agenda for Clean Trade

This panel discussion will conclude the Forum, highlighting the key messages for stakeholders and look ahead towards setting the global agenda for integrity and anti-corruption in the coming years. A particular attention is on the economic aspects, the implication for the global economy. Panellists will share their views and identify priorities for policy advice, and suggest areas where the OECD could provide further expertise and further develop its role as global knowledge and implementation hub.



#### **SPEAKERS**

- Cliff Sosnow, Partner at Fasken Martineau DuMoulin LLP and Business and Industry Advisory Committee Trade Committee Chair
- Mary Anne Stevens, Senior Director, Workplace Policies, Programs, Engagement and Ethics; Governance, Planning and Policy Sector, Treasury Board of Canada Secretariat
- Cobus de Swardt, Managing Director, Transparency International
- John Virgoe, Head of Joint Anti-corruption Unit, UK Cabinet Office

#### **DISCUSSANT**

• Timothy F. Schultz, Chairman, International Forum on Business Ethical Conduct

#### **MODERATOR**

Rolf Alter, Director, Directorate for Public Governance and Territorial Development, OECD

#### 16:45 - 17:00

#### **Concluding Remarks**

Room 1



Mari Kiviniemi, Deputy Secretary-General, OECD



# **Notes:**

# Many thanks to our knowledge partners:

















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IntegrityForum@oecd.org

